

About Us:

Mott Capital Management uses a long-term thematic growth approach to investing in public equities. We search for investments that both reflect and help to shape generational and demographic shifts. Mott utilizes a philosophy of buying these companies for a 3 to 5-year time horizon, with the belief that a long-term holding period gives themes and our chosen companies a chance fully to develop. The long time horizon also serves to mitigate the risk associated with the short-term impact of market volatility.

Strategy:

Typically, the portfolio is constructed with a focus on 4 to 5 themes. Examples of themes vary and can range from the needs of aging populations to the shifting media landscape. With a 3-5 year investment outlook, we have the opportunity to develop a deep analytical understanding of each portfolio holding, and in some case build a personal relationship with the company.

The overall portfolio is made up of 15 to 19 stocks, each receiving an approximately equal weighting of 5 percent, with an additional target cash balance of 5 percent. We do not use leverage, short positions or options: we strictly hold long positions in equities. In addition to using time to mitigate risk, we lever or de-lever the portfolio by increasing or decreasing the number of shares of each portfolio company to maintain consistency with our target range.

We typically invest in stocks that have market caps greater than \$1 billion and are fully transferrable. We trade in stock listed on US exchanges only.



Operations:

Mott believes in taking full advantage of 21st-century mobility, and we use it to its fullest advantage. We can operate from any place, in any part of the world given an internet connection.

We use dual authentication to protect all of the software that houses or contains any client information.

Structure:

Mott operates as a Registered Investment Advisor and manages all clients through separately managed accounts held at a third-party custodian.

The managed account structure provides complete transparency for customers, who have unobstructed access to every account transaction and all fees charged.

Our managed account structure also allows our thematic strategy to be replicated in each account without regard to account size.

Finally, Mott charges a management fee only: no loads, no performance kicker, no redemption fees. Our fees are established on a on a sliding scale dependent on the size of the account.